

**PATENT** 

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Date

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Ronald Berenson et al.

Application No.

10/729,822

Filed For

December 5, 2003

COMPOSITIONS AND METHODS FOR ELIMINATING

UNDESIRED SUBPOPULATIONS OF T CELLS IN PATIENTS

WITH IMMUNOLOGICAL DEFECTS RELATED TO

AUTOIMMUNITY AND ORGAN OR HEMATOPOIETIC STEM

**CELL TRANSPLANTATION** 

Art Unit

: 1632

Docket No.

: 980034.422C1

Date

: October 27, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached Form PTO-1449. This application is a continuation-in-part and relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Patent Application No. 10/603,577, filed June 24, 2003. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Julie A. Urvater, Ph.D., Patent Agent

Registration No. 50,461

JAU:ljt

**Enclosures:** 

Postcard Transmittal Form Form PTO-1449 (26 Sheets)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

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# FORM

(To be used for all correspondence after initial filing)

| Application Number   | 10/729,822       |
|----------------------|------------------|
| Filing Date          | December 5, 2003 |
| First Named Inventor | Ronald Berenson  |
| Art Unit             | 1632             |
| Examiner Name        |                  |
| Attorney Docket No.  | 980034.422C1     |

|             |  | ENCLOSURES (check all that apply)   |
|-------------|--|---|
|             | Fee Transmittal Form  Fee Attached  Amendment/Response  After Final  Affidavits/declaration  Extension of Time Request  Supplemental Information  Disclosure Statement; FPTO-1449 (26 Sheets)  Cited References  Certified Copy of Priority  Document(s)  Response to Missing Paunder 37 C.F.R. 1.52 or  Response to Missing  Parts/Incomplete Application | Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund  CD(s), Number of CD(s)  After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): |
| Re          | marks  |   |
|             | SIGN   | ATURE OF APPLICANT, ATTORNEY, OR AGENT  |
| Ind         | Julie A<br>Reg No  | Urvater, Ph.D., Patent Agent 50,461 Customer Number 00500   |
| Sig         | nature <i>M</i>  | lie (Avat   |
| Da          | te Octobe  | 27, 2004  |
|             |  | CERTIFICATE OF TRANSMISSION/MANUALS   |
| with<br>add | ereby certify that this co<br>h the United States Pos  | respondence is being facsimile transmitted to the USPTO or deposited al Service with sufficient postage as first class mail in an envelope nendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA own below.   |
| Ту          | ped or printed name  | Lawrence Teague   |
|             | nature<br>collection of information is required by   | Date: October 27, 2004  CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confideduality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| •                          |      |                              |                                 |                  |                                     | Sheet     | <u>1</u> of <u>26</u> |                    |             |
|----------------------------|------|------------------------------|---------------------------------|------------------|-------------------------------------|-----------|-----------------------|--------------------|-------------|
| FORM PTO-144<br>(REV.7-80) | 9    |                              | DEPARTMENT OF<br>ENT AND TRADEN |                  | ATTY. DOCKET NO.                    |           | PLICATION NO.         |                    |             |
| (KEV./-60)                 |      |                              |                                 | 960034.422C1     | 110                                 | 0/729,822 |                       |                    |             |
| INE                        | ODM  | SUPPLEMENT<br>ATION DISCLOSU | AC COTEM                        | ENT.             | APPLICANTS  Ronald Berenson et a    | .1        |                       |                    |             |
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|                            |      | TO TOM                       | U.S                             | . PATENT         | DOCUMENTS                           |           |                       |                    |             |
| *EXAMINER<br>INITIAL       |      | DOCUMENT NUMBER              | DATE                            |                  | NAME                                | CLASS     | SUBCLASS              | FILING<br>IF APPRO |             |
|                            | AA   | 5,081,029                    | 01/14/92                        | Zarling et       | al.                                 | 435       | 172.3                 |                    |             |
|                            | AB   | 5,106,746                    | 04/21/92                        | Но               |                                     | 435       | 240.25                |                    |             |
|                            | AC   | 5,190,878                    | 03/02/93                        | Wilhelm          |                                     | 435       | 285                   |                    |             |
|                            | AD   | 5,223,426                    | 06/29/93                        | Skibbens         | et al.                              | 435       | 240.27                |                    |             |
|                            | AE   | 5,443,983                    | 08/22/95                        | Ochoa et         | al.                                 | 435       | 240.2                 |                    | <del></del> |
|                            | AF   | 5,468,635                    | 11/21/95                        | Komiya e         | t al.                               | 435       | 240.21                |                    |             |
|                            | AG   | 5,470,730                    | 11/28/95                        | Greenberg        | g et al.                            | 435       | 172.3                 |                    |             |
|                            | АН   | 5,635,354                    | 06/03/97                        | Kourilsky        | et al.                              | 435       | 6                     | <del></del>        |             |
|                            | Al   | 5,674,704                    | 10/07/97                        | Goodwin          | et al.                              | 435       | 69.1                  |                    |             |
|                            | AJ   | 5,677,139                    | 10/14/97                        | Johnson e        | et al.                              | 435       | 29                    |                    |             |
|                            | AK   | 5,688,915                    | 11/18/97                        | Ron et al.       |                                     | 530       | 380                   |                    |             |
|                            | AL   | 5,728,388                    | 03/17/98                        | Terman           |                                     | 424       | 237.1                 |                    | <del></del> |
|                            | AM   | 5,735,279                    | 04/07/98                        | Klaveness        | s et al.                            | 128       | 654                   |                    |             |
|                            | AN   | 5,738,852                    | 04/14/98                        | Robinson         | et al.                              | 424       | 199.1                 |                    |             |
|                            | AO   | 5,759,546                    | 06/02/98                        | Weinberg         | g et al.                            | 424       | 179.1                 |                    |             |
|                            | AP   | 5,766,947                    | 06/16/98                        | Rittershau       | ıs et al.                           | 435       | 334                   |                    |             |
|                            | AQ   | 5,776,966                    | 07/07/98                        | North            |                                     | 514       | 410                   |                    |             |
|                            | AR   | 5,804,442                    | 09/08/98                        | Romet-Le         | emonne et al.                       | 435       | 374                   |                    |             |
|                            | AS   | 5,824,551                    | 10/20/98                        | Damme e          | t al.                               | 435       | 375                   | <u> </u>           |             |
|                            |      |                              | FORE                            | IGN PATE         | NT DOCUMENTS                        |           |                       |                    |             |
|                            |      | DOCUMENT<br>NUMBER           | DATE                            |                  | COUNTRY                             |           |                       | YES                | NO NO       |
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| * EXAMIN                   |      |                              |                                 |                  | onformance with MPEP 609. Dra       |           | h citation if not in  |                    |             |
| L                          |      | conformance and not cons     | sidered. Include c              | opy of this form | with next communication to appli    | cant(s).  |                       |                    |             |

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 980034.422C1

APPLICATION NO. 10/729,822

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Ronald Berenson et al.

FILING DATE

APPLICANTS

GROUP ART UNIT

December 5, 2003

1632

### **U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|----------|-------------------|-------|----------|-------------------------------|
|                      | ВА | 5,827,642       | 10/27/98 | Riddell et al.    | 435   | 2        |                               |
|                      | ВВ | 5,830,462       | 11/03/98 | Crabtree et al.   | 424   | 93.21    |                               |
|                      | вс | 5,830,473       | 11/03/98 | Thierfelder       | 424   | 172.1    |                               |
|                      | BD | 5,837,477       | 11/17/98 | Germain et al.    | 435   | 7.24     |                               |
|                      | BE | 5,843,435       | 12/01/98 | Slavin            | 424   | 93.71    |                               |
|                      | BF | 5,843,635       | 12/01/98 | Schlossman et al. | 435   | 5        |                               |
|                      | BG | 5,869,270       | 02/09/99 | Rhode et al.      | 435   | 7.24     |                               |
|                      | вн | 5,869,337       | 02/09/99 | Crabtree et al.   | 435   | 372.3    |                               |
|                      | ві | 5,871,753       | 02/16/99 | Crabtree et al.   | 424   | 280.1    |                               |
|                      | BJ | 5,872,222       | 02/16/99 | Chang             | 530   | 391.1    |                               |
|                      | вк | 5,888,807       | 03/30/99 | Palsson et al.    | 435   | 293.2    |                               |
|                      | BL | 5,910,403       | 06/08/99 | Hellerstein       | 435   | 4        |                               |
|                      | ВМ | 5,928,639       | 07/27/99 | Slavin            | 424   | 93.71    |                               |
|                      | BN | 5,935,575       | 08/10/99 | Lenardo et al.    | 424   | 184.1    |                               |
|                      | во | 5,942,607       | 08/24/99 | Freeman et al.    | 536   | 23.5     |                               |
|                      | BP | 5,962,319       | 10/05/99 | Ogawa et al.      | 435   | 325      |                               |
|                      | BQ | 5,962,320       | 10/05/99 | Robinson          | 435   | 366      |                               |
|                      | BR | 5,976,533       | 11/02/99 | Skibbens et al.   | 424   | 144.1    |                               |
|                      | BS | 5,980,892       | 11/09/99 | Skibbens et al.   | 424   | 144.1    |                               |

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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Ronald Berenson et al.

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| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATI |
|----------------------|----|-----------------|----------|------------------|-------|----------|-------------------------------|
|                      | CA | 5,985,653       | 11/16/99 | Armstrong et al. | 435   | 303.1    |                               |
|                      | СВ | 5,989,546       | 11/23/99 | Lenardo          | 424   | 184.1    |                               |
|                      | СС | 6,010,902       | 01/04/00 | Ledbetter et al. | 435   | 328      |                               |
|                      | CD | 6,011,018       | 01/04/00 | Crabtree et al.  | 514   | 31       |                               |
|                      | CE | 6,040,177       | 03/21/00 | Riddell et al.   | 435   | 372.3    |                               |
|                      | CF | 6,043,082       | 03/28/00 | Crabtree et al.  | 435   | 320.1    |                               |
|                      | CG | 6,046,047       | 04/04/00 | Crabtree et al.  | 435   | 320.1    |                               |
|                      | СН | 6,048,526       | 04/11/00 | Skibbens et al.  | 424   | 144.1    |                               |
|                      | CI | 6,063,625       | 05/16/00 | Crabtree et al.  | 435   | 375      |                               |
|                      | CJ | 6,083,503       | 07/04/00 | Lenardo          | 424   | 184.1    |                               |
|                      | CK | 6,096,532       | 08/01/00 | Armstrong et al. | 435   | 286.5    |                               |
|                      | CL | 6,113,901       | 09/05/00 | Bluestone        | 424   | 154.1    |                               |
|                      | СМ | 6,117,982       | 09/12/00 | Chang            | 530   | 391.1    |                               |
|                      | CN | 6,126,945       | 10/03/00 | Terman et al.    | 424   | 237.1    |                               |
|                      | со | 6,129,916       | 10/10/00 | Chang            | 424   | 179.1    |                               |
|                      | СР | 6,140,120       | 10/31/00 | Crabtree et al.  | 435   | 372.3    |                               |
|                      | CQ | 6,143,291       | 11/07/00 | June et al.      | 424   | 93.21    |                               |
|                      | CR | 6,143,292       | 11/07/00 | Slavin           | 424   | 93.7     |                               |
|                      | cs | 6,143,297       | 11/07/00 | Bluestone        | 424   | 184.1    |                               |

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|  |    | DOCUMENT | DATE | COUNTRY | TRANS | LATION |
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|  |    | NUMBER   | DATE | COUNTRI | YES   | NO     |
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|----------------------|----|-----------------|----------|-----------------|-------|----------|-------------------------------|
|                      | DA | 6,165,787       | 12/26/00 | Crabtree et al. | 435   | 372.3    | ,                             |
|                      | DB | 6,171,799       | 01/09/01 | Skibbens et al. | 435   | 7.1      |                               |
|                      | DC | 6,180,097       | 01/30/01 | Terman          | 424   | 93.1     |                               |
|                      | DD | 6,197,298       | 03/06/01 | Chang           | 424   | 179.1    |                               |
|                      | DE | 6,210,669       | 04/03/01 | Aruffo et al.   | 424   | 144.1    |                               |
|                      | DF | 6,221,351       | 04/24/01 | Terman          | 424   | 93.71    |                               |
|                      | DG | 6,232,445       | 05/15/01 | Rhode et al.    | 530   | 387.3    |                               |
|                      | DH | 6,251,385       | 06/26/01 | Terman          | 424   | 93.7     |                               |
|                      | DI | 6,258,357       | 07/10/01 | Spaner          | 424   | 93.71    |                               |
|                      | DJ | 6,284,879       | 09/04/01 | Faustman        | 536   | 23.1     |                               |
|                      | DK | 6,290,955       | 09/18/01 | Thierfelder     | 424   | 130.1    |                               |
|                      | DL | 6,309,645       | 10/30/01 | Rhode et al.    | 424   | 192.1    |                               |
|                      | DM | 6,316,257       | 11/13/01 | Flyer et al.    | 435   | 372.3    |                               |
|                      | DN | 6,338,845       | 01/15/02 | Terman          | 424   | 93.1     |                               |
|                      | DO | 6,340,461       | 01/22/02 | Terman          | 424   | 193.1    |                               |
|                      | DP | 6,355,779       | 03/12/02 | Goodwin et al.  | 530   | 388.23   |                               |
|                      | DQ | 6,399,054       | 06/04/02 | Casorati et al. | 424   | 93.21    |                               |
|                      | DR | 6,406,699       | 06/18/02 | Wood            | 424   | 184.1    |                               |

#### FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER |      | COUNTRY | TRANSLATION |    |
|--|----|--------------------|------|---------|-------------|----|
|  |    | NUMBER             | DATE | COUNTRI | YES         | NO |
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# OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATI |
|----------------------|----|-----------------|----------|--------------------|-------|----------|-------------------------------|
|                      | EA | 6,461,806       | 10/08/02 | Hellerstein        | 435   | 4        |                               |
|                      | EB | 6,465,251       | 10/15/02 | Schultze et al.    | 435   | 377      |                               |
|                      | EC | 6,488,933       | 12/03/02 | Cohen et al.       | 424   | 185.1    |                               |
|                      | ED | 6,566,082       | 05/20/03 | Weinberg et al.    | 435   | 7.24     |                               |
|                      | EE | 6,576,428       | 06/10/03 | Assenmacher et al. | 435   | 7.1      |                               |
|                      | EF | 6,576,466       | 06/10/03 | Jungfer et al.     | 435   | 372.3    |                               |
|                      | EG | 6,602,709       | 08/05/03 | Albert et al.      | 435   | 372      |                               |
|                      | ЕН | 6,610,542       | 08/26/03 | Bell et al.        | 435   | 377      |                               |
|                      | EI | 6,656,471       | 12/02/03 | Sastry et al.      | 424   | 188.1    |                               |
|                      | EJ | 6,689,605       | 02/10/04 | Mountz et al.      | 435   | 320.1    |                               |
|                      | EK | 6,692,746       | 02/17/04 | Terman et al.      | 424   | 184.1    |                               |
|                      | EL | 6,719,972       | 04/13/04 | Gribben et al.     | 424   | 154.1    |                               |
|                      | EM | 2001/0028879    | 10/11/01 | Spaner             | 424   | 93.7     |                               |
|                      | EN | 2001/0051151    | 12/13/01 | Lamb, Jr.          | 424   | 93.7     |                               |
|                      | ЕО | 2001/0031253    | 10/18/01 | Gruenberg          | 424   | 93.1     |                               |
|                      | EP | 2002/0004041    | 01/10/02 | Albert et al.      | 424   | 93.21    |                               |
|                      | EQ | 2002/0006409    | 01/17/02 | Wood               | .424  | 184.1    |                               |
|                      | ER | 2002/0009448    | 01/24/02 | Weiner et al.      | 424   | 154.1    |                               |

# FOREIGN PATENT DOCUMENTS

|      | DOCUMENT | DATE | COUNTRY | TRANSLATION |    |
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|      | NUMBER   | DATE | COUNTRI | YES         | NO |
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|----------------------|----|-----------------|----------|-------------------|-------|----------|-------------------------------|
|                      | FA | 2002/0034513    | 03/21/02 | Rhode et al.      | 424   | 184.1    |                               |
|                      | FB | 2002/0034517    | 03/21/02 | Brasel et al.     | 424   | 192.1    |                               |
|                      | FC | 2002/0037860    | 03/28/02 | D'Andrea et al.   | 514   | 19       |                               |
|                      | FD | 2002/0039569    | 04/04/02 | Jungfer et al.    | 424   | 85.2     |                               |
|                      | FE | 2002/0090362    | 07/11/02 | Stauss            | 424   | 93.21    |                               |
|                      | FF | 2002/0091079    | 07/11/02 | Rhode et al.      | 514   | 12       |                               |
|                      | FG | 2002/0119571    | 08/29/02 | Ritter et al.     | 435   | 456      |                               |
|                      | FH | 2002/0146396    | 10/10/02 | Albert et al.     | 424   | 93.21    |                               |
|                      | FI | 2002/0164331    | 11/07/02 | Exley et al.      | 424   | 144.1    |                               |
|                      | FJ | 2002/0176850    | 11/28/02 | Slavin            | 424   | 93.21    |                               |
| ··· ·· · ·           | FK | 2002/0177554    | 11/28/02 | Verheijden et al. | 514   | 12       |                               |
|                      | FL | 2002/0182730    | 12/05/02 | Gruenberg         | 435   | 375      |                               |
|                      | FM | 2002/0197716    | 12/26/02 | Flyer et al.      | 435   | 372      |                               |
|                      | FN | 2003/0039650    | 02/27/03 | Gruenberg         | 424   | 144.1    |                               |
|                      | FO | 2003/0113328    | 06/19/03 | Roifman et al.    | 424   | 146.1    |                               |
|                      | FP | 2003/0113341    | 06/19/03 | Lynch et al.      | 424   | 185.1    |                               |
|                      | FQ | 2003/0118659    | 06/26/03 | August et al.     | 424   | 491      |                               |
|                      | FR | 2003/0134341    | 07/17/03 | Gruenberg         | 435   | 7.21     |                               |

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 980034.422C1

APPLICATION NO. 10/729,822

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS
Ronald Berenson et al.

FILING DATE
December 5, 2003

 $\begin{array}{c} \text{GROUP ART UNIT} \\ 1632 \end{array}$ 

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|                      | GB  | 2003/0165531    | 09/04/03 | Lynch et al.       | 424   | 192.1    |                               |
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 980034.422C1 APPLICATION NO. 10/729,822

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### **U.S. PATENT DOCUMENTS**

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Sheet 9 of 26 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. (REV.7-80) PATENT AND TRADEMARK OFFICE 980034.422C1 10/729,822 APPLICANTS **SUPPLEMENTAL** INFORMATION DISCLOSURE STATEMENT Ronald Berenson et al. (Use several sheets if necessary) FILING DATE **GROUP ART UNIT** December 5, 2003 1632 **U.S. PATENT DOCUMENTS** \*EXAMINER FILING DATE DATE DOCUMENT NUMBER NAME CLASS SUBCLASS IF APPROPRIATE INITIAL lΑ **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO WO 95/09652 04/13/95 **WIPO** ΙB WO 95/21251 08/10/95 **WIPO** IC WO 95/24910 **WIPO** ID 09/21/95 WO 95/32735 12/07/95 **WIPO** ΙE WO 95/33770 12/14/95 **WIPO** IF WO 96/06929 03/07/96 **WIPO** IG WO 96/15153 05/23/96 **WIPO** ΙH WO 96/33265 **WIPO** 10/24/96 П **WIPO** WO 96/34622 11/07/96 IJ WO 96/37208 11/28/96 WIPO ΙK WO 96/38158 12/05/96 **WIPO** ΙL WO 97/00270 01/03/97 **WIPO** ·IM WO 97/01304 01/16/97 **WIPO** WO 97/02016 01/23/97 **WIPO** Ю WO 97/05233 02/13/97 **WIPO** ΙP WO 97/05239 02/13/97 **WIPO** IQ WO 97/10361 03/20/97 **WIPO** IR OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) IS

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Sheet 10 of 26 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. FORM PTO-1449 (REV.7-80) PATENT AND TRADEMARK OFFICE 980034.422C1 10/729,822 APPLICANTS **SUPPLEMENTAL** Ronald Berenson et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT December 5, 2003 1632 **U.S. PATENT DOCUMENTS** \*EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE INITIAL JA **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO WO 97/12633 04/10/97 **WIPO** JB WO 97/32970 09/12/97 **WIPO** JC JD WO 97/37004 10/09/97 WIPO WO 97/39722 10/30/97 **WIPO** JΕ WO 97/44667 11/27/97 **WIPO** JF WO 98/21314 05/22/98 **WIPO** JG WO 98/51820 11/19/98 **WIPO** JH WO 98/52615 11/26/98 **WIPO** Jl WO 98/56819 12/17/98 **WIPO** IJ WO 98/56823 12/17/98 **WIPO** JK WO 99/13904 03/25/99 **WIPO** JL 05/06/99 JM WO 99/21572 WIPO WO 99/38953 08/05/99 **WIPO** JN WO 99/51247 10/14/99 **WIPO** JO WO 99/52928 10/21/99 **WIPO** JР WO 99/55843 11/04/99 **WIPO** JQ WO 99/58977 11/18/99 **WIPO** JR OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) JS **EXAMINER** DATE CONSIDERED

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conformance and not considered. Include copy of this form with next communication to applicant(s).

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Sheet 11 of 26 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. (REV.7-80) PATENT AND TRADEMARK OFFICE 980034.422C1 10/729,822 APPLICANTS **SUPPLEMENTAL** Ronald Berenson et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE **GROUP ART UNIT** December 5, 2003 1632 **U.S. PATENT DOCUMENTS** \*EXAMINER FILING DATE CLASS DOCUMENT NUMBER DATE NAME SUBCLASS INITIAL IF APPROPRIATE KA **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES WO 00/02520 **WIPO** 01/20/00 KB X WO 00/02520 01/20/00 **WIPO** KC X KD WO 00/15767 03/23/00 WIPO WO 00/22124 04/20/00 **WIPO** KE WO 00/29008 05/25/00 **WIPO** KF WO 00/31138 06/02/00 **WIPO** KG WO 00/44893 08/03/00 **WIPO** KH WO 00/51432 09/08/00 **WIPO** ΚI WO 00/52046 09/08/00 WIPO KJ 09/28/00 WO 00/56356 WIPO ΚK WO 00/59538 **WIPO** 10/12/00 KLWO-00/66764 11/09/00 WIPO KM WO 01/22970 04/05/01 **WIPO** KN WO 01/24771 04/12/01 **WIPO** KO WO 01/29192 04/26/01 **WIPO** ΚP WO 01/43695 06/21/01 **WIPO** KO WO 01/49743 07/12/01 WIPO KR OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) KS

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Sheet <u>14</u> of <u>26</u>

| FORM PTO-144<br>(REV.7-80) | 9        |   | DEPARTMENT OF  |                     | <b>!</b>                            |           |         |                  | -              |                 |  |
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO. (REV.7-80) PATENT AND TRADEMARK OFFICE 980034.422C1 10/729,822 APPLICANTS **SUPPLEMENTAL** Ronald Berenson et al. INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) FILING DATE GROUP ART UNIT December 5, 2003 1632 U.S. PATENT DOCUMENTS \*EXAMINER FILING DATE DATE SUBCLASS DOCUMENT NUMBER NAME CLASS INITIAL IF APPROPRIATE XA FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES XB OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Ria, F. et al., "Molecular Characterization of the T Cell Repertoire Using Immuno-scope Analysis XC and its Possible Implementation in Clinical Practice," Current Molecular Medicine 1: 297-304, 2001. Riddell, S.R. et al., "T-Cell Therapy of Leukemia," Cancer Control 9(2): 114-122, March/April XD Rodriguez-Palmero, M. et al., "Triggering of T cell proliferation through CD28 induces GATA-3 XF and promotes T helper type 2 differentiation in vitro and in vivo," Eur. J. Immunol. 29(12): 3914-3924, December 1999. Sasajima, K. et al., "Detection of T cell Apoptosis after Major Operations," Eur. J. Surg. 165: XF 1020-1023, 1999. Schmidt, D. et al., "The Repertoire of CD4<sup>+</sup> CD28<sup>-</sup> T Cells in Rheumatoid Arthritis," Molecular XG Medicine 2(5): 608-618, September 1996. Schmidt. J. et al., "T-cell apoptosis in situ in experimental autoimmune encephalomyelitis XH following methylprednisolone pulse therapy," Brain 123(pt. 7): 1431-1441, July 2000. Schneider, C. et al., "Experimental autoimmune myositis in the Lewis rat: lack of spontaneous T-ΧI cell apoptosis and therapeutic response to glucocorticosteroid application," Journal of Neuroimmunology 107: 83-87, 2000. Shimizu, N. et al., 'Large-Scale ex Vivo Expansion of Primary T Lymphocytes in Late-Stage AIDS ΧJ Patients," AIDS Research and Human Retroviruses 16(6): 611-612, 2000. Smith, C.R. et al., "In vitro T cell proliferation from kidney allograft biopsies with unremarkable XK pathology: new strategies for an old problem," Transplantation 73(1): 142-145, January 2002. Snyder, M.R. et al., "Formation of the Killer Ig-Like Receptor Repertoire on CD4<sup>+</sup> CD28<sup>null</sup> T XL Cells," The Journal of Immunoogy 168: 3839-3846, 2002. Söderström, M. et al., "Autoimmune T cell repertoire in optic neuritis and multiple sclerosis: T XM cells recognizing multiple myelin proteins are accumulated in cerebrospinal fluid," Journal of Neurolgy, Neurosurgery, and Psychiatry 57: 544-551, 1994. Stahnke, K. et al., "Activation of apoptosis pathways in peripheral blood lymphocytes by in vivo XN chemotherapy," Blood 98(10): 3066-3073, November 15, 2001. Stohl, W. et al., "Polyclonal in Vitro T Cell Proliferation and T Cell-Dependent B Cell XO Differentiation Supported By Activated Autologous B Cells," Clinical Immunology and Immunopathology 72(1): 44-52, July 1994. **EXAMINER** DATE CONSIDERED \* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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